

# Automorphisms of ordered abelian groups, the Amalgamation Property, and dependent positive theories

Rosario Mennuni  
joint work with Jan Dobrowolski

Università di Pisa

BPGMTC 2023  
University of Leeds  
18<sup>th</sup> January 2023

## In this talk

### Aut, pec, NIP

- Generic automorphisms

- Dependent positive theories

### oags, AP

- Automorphisms of oags

- Proof ideas and byproducts

## In this talk

### Aut, pec, NIP

Generic automorphisms

Dependent positive theories

### oags, AP

Automorphisms of oags

Proof ideas and byproducts

### Main Result (Dobrowolski–M.)

Ordered abelian groups with an automorphism have the Amalgamation Property.

## In this talk

### Aut, pec, NIP

Generic automorphisms

Dependent positive theories

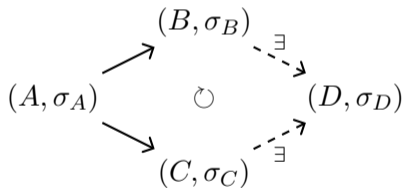
### oags, AP

Automorphisms of oags

Proof ideas and byproducts

### Main Result (Dobrowolski–M.)

Ordered abelian groups with an automorphism have the Amalgamation Property.



## In this talk

## Aut, pec, NIP

Generic automorphisms

Dependent positive theories

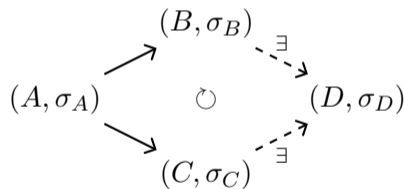
## oags, AP

Automorphisms of oags

Proof ideas and byproducts

## Main Result (Dobrowolski–M.)

Ordered abelian groups with an automorphism have the Amalgamation Property.



## Main Corollary

Their positive theory is NIP.  
(more precisely, their *h-inductive* theory)

(using a suitable notion of NIP)

## Generic automorphisms

- Start with an  $L$ -theory  $T$

## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)

## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)
- Let  $L' := L \cup \{\sigma\}$



## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)
- Let  $L' := L \cup \{\sigma\}$  and  $T' := \text{Th}(\{(M, \sigma) \mid M \models T, \sigma \in \text{Aut}_L(M)\})$ .

## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)
- Let  $L' := L \cup \{\sigma\}$  and  $T' := \text{Th}(\{(M, \sigma) \mid M \models T, \sigma \in \text{Aut}_L(M)\})$ .
- Let  $K := \text{Mod}(T')$

## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)
- Let  $L' := L \cup \{\sigma\}$  and  $T' := \text{Th}(\{(M, \sigma) \mid M \models T, \sigma \in \text{Aut}_L(M)\})$ .
- Let  $K := \text{Mod}(T')$  and  $K^{\text{ec}} :=$  class of existentially closed  $M \models T'$ .

What's that?

## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)
- Let  $L' := L \cup \{\sigma\}$  and  $T' := \text{Th}(\{(M, \sigma) \mid M \models T, \sigma \in \text{Aut}_L(M)\})$ .
- Let  $K := \text{Mod}(T')$  and  $K^{\text{ec}} :=$  class of existentially closed  $M \models T'$ .
- $K^{\text{ec}}$  may or may not be elementary. (it is  $\iff T'$  has a model companion)

What's that?

## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)
- Let  $L' := L \cup \{\sigma\}$  and  $T' := \text{Th}(\{(M, \sigma) \mid M \models T, \sigma \in \text{Aut}_L(M)\})$ .
- Let  $K := \text{Mod}(T')$  and  $K^{\text{ec}} :=$  class of existentially closed  $M \models T'$ . What's that?
- $K^{\text{ec}}$  may or may not be elementary. (it is  $\iff T'$  has a model companion)
- If it is, we say that  $TA := \text{Th}(K^{\text{ec}})$  exists.

## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)
- Let  $L' := L \cup \{\sigma\}$  and  $T' := \text{Th}(\{(M, \sigma) \mid M \models T, \sigma \in \text{Aut}_L(M)\})$ .
- Let  $K := \text{Mod}(T')$  and  $K^{\text{ec}} :=$  class of existentially closed  $M \models T'$ . What's that?
- $K^{\text{ec}}$  may or may not be elementary. (it is  $\iff T'$  has a model companion)
- If it is, we say that  $TA := \text{Th}(K^{\text{ec}})$  exists.
- If  $T$  is the theory of (algebraically closed) fields, then  $TA$  exists (ACFA).

$(T \text{ (super)stable} \wedge TA \text{ exists}) \Rightarrow TA \text{ (super)simple}$  (Chatzidakis–Pillay).  $TA \text{ exists} \Rightarrow T \text{ eliminates } \exists^\infty$  (Kudařbergenov)

## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)
- Let  $L' := L \cup \{\sigma\}$  and  $T' := \text{Th}(\{(M, \sigma) \mid M \models T, \sigma \in \text{Aut}_L(M)\})$ .
- Let  $K := \text{Mod}(T')$  and  $K^{\text{ec}} :=$  class of existentially closed  $M \models T'$ . What's that?
- $K^{\text{ec}}$  may or may not be elementary. (it is  $\iff T'$  has a model companion)
- If it is, we say that  $TA := \text{Th}(K^{\text{ec}})$  exists.
- If  $T$  is the theory of (algebraically closed) fields, then  $TA$  exists (ACFA).

$(T \text{ (super)stable} \wedge TA \text{ exists}) \Rightarrow TA \text{ (super)simple}$  (Chatzidakis–Pillay).  $TA \text{ exists} \Rightarrow T \text{ eliminates } \exists^\infty$  (Kudařbergenov)

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist. Why?

## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)
- Let  $L' := L \cup \{\sigma\}$  and  $T' := \text{Th}(\{(M, \sigma) \mid M \models T, \sigma \in \text{Aut}_L(M)\})$ .
- Let  $K := \text{Mod}(T')$  and  $K^{\text{ec}} :=$  class of existentially closed  $M \models T'$ . What's that?
- $K^{\text{ec}}$  may or may not be elementary. (it is  $\iff T'$  has a model companion)
- If it is, we say that  $TA := \text{Th}(K^{\text{ec}})$  exists.
- If  $T$  is the theory of (algebraically closed) fields, then  $TA$  exists (ACFA).

$(T \text{ (super)stable} \wedge TA \text{ exists}) \Rightarrow TA \text{ (super)simple}$  (Chatzidakis–Pillay).  $TA \text{ exists} \Rightarrow T \text{ eliminates } \exists^\infty$  (Kudařbergenov)

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist. Why?

Ordered abelian groups (oags) have SOP.



## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)
- Let  $L' := L \cup \{\sigma\}$  and  $T' := \text{Th}(\{(M, \sigma) \mid M \models T, \sigma \in \text{Aut}_L(M)\})$ .
- Let  $K := \text{Mod}(T')$  and  $K^{\text{ec}} :=$  class of existentially closed  $M \models T'$ . What's that?
- $K^{\text{ec}}$  may or may not be elementary. (it is  $\iff T'$  has a model companion)
- If it is, we say that  $TA := \text{Th}(K^{\text{ec}})$  exists.
- If  $T$  is the theory of (algebraically closed) fields, then  $TA$  exists (ACFA).

$(T \text{ (super)stable} \wedge TA \text{ exists}) \Rightarrow TA \text{ (super)simple}$  (Chatzidakis–Pillay).  $TA \text{ exists} \Rightarrow T \text{ eliminates } \exists^\infty$  (Kudařbergenov)

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist. Why?

Ordered abelian groups (oags) have SOP.

One approach: constrain the “generic” automorphism (Laskowski–Pal). More

## Generic automorphisms

- Start with an  $L$ -theory  $T$ , say  $\forall\exists$ -axiomatised with q.e. (up to Morelyising)
- Let  $L' := L \cup \{\sigma\}$  and  $T' := \text{Th}(\{(M, \sigma) \mid M \models T, \sigma \in \text{Aut}_L(M)\})$ .
- Let  $K := \text{Mod}(T')$  and  $K^{\text{ec}} :=$  class of existentially closed  $M \models T'$ . What's that?
- $K^{\text{ec}}$  may or may not be elementary. (it is  $\iff T'$  has a model companion)
- If it is, we say that  $TA := \text{Th}(K^{\text{ec}})$  exists.
- If  $T$  is the theory of (algebraically closed) fields, then  $TA$  exists (ACFA).

$(T \text{ (super)stable} \wedge TA \text{ exists}) \Rightarrow TA \text{ (super)simple}$  (Chatzidakis–Pillay).  $TA \text{ exists} \Rightarrow T \text{ eliminates } \exists^\infty$  (Kudařbergenov)

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist. Why?

Ordered abelian groups (oags) have SOP.

One approach: constrain the “generic” automorphism (Laskowski–Pal). More Or...

## A tale of homomorphisms

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

- Positive logic: to define sets, you are only allowed positive formulas: the closure of atomic formulas under  $\wedge, \vee, \exists$

## A tale of homomorphisms

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

- Positive logic: to define sets, you are only allowed positive formulas: the closure of atomic formulas under  $\wedge, \vee, \exists, \top, \perp$ .

## A tale of homomorphisms

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

- Positive logic: to define sets, you are only allowed positive formulas: the closure of atomic formulas under  $\wedge, \vee, \exists, \top, \perp$ .
- What is special about these formulas?  $\varphi(x)$  is positive if and only if for all homomorphisms  $f: M \rightarrow N$ , we have  $M \models \varphi(a) \implies N \models \varphi(f(a))$ .

## A tale of homomorphisms

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

- Positive logic: to define sets, you are only allowed positive formulas: the closure of atomic formulas under  $\wedge, \vee, \exists, \top, \perp$ .
- What is special about these formulas?  $\varphi(x)$  is positive if and only if for all homomorphisms  $f: M \rightarrow N$ , we have  $M \models \varphi(a) \implies N \models \varphi(f(a))$ .
- Axioms are allowed to express inclusions between definable sets. They are *h-inductive* sentences  $\forall x (\varphi(x) \rightarrow \psi(x))$      $\varphi, \psi$  positive.

## A tale of homomorphisms

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

- Positive logic: to define sets, you are only allowed positive formulas: the closure of atomic formulas under  $\wedge, \vee, \exists, \top, \perp$ .
- What is special about these formulas?  $\varphi(x)$  is positive if and only if for all homomorphisms  $f: M \rightarrow N$ , we have  $M \models \varphi(a) \implies N \models \varphi(f(a))$ .
- Axioms are allowed to express inclusions between definable sets. They are *h-inductive* sentences  $\forall x (\varphi(x) \rightarrow \psi(x))$      $\varphi, \psi$  positive.
- $M$  is *positively existentially closed*

## A tale of homomorphisms

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

- Positive logic: to define sets, you are only allowed positive formulas: the closure of atomic formulas under  $\wedge, \vee, \exists, \top, \perp$ .
- What is special about these formulas?  $\varphi(x)$  is positive if and only if for all homomorphisms  $f: M \rightarrow N$ , we have  $M \models \varphi(a) \implies N \models \varphi(f(a))$ .
- Axioms are allowed to express inclusions between definable sets. They are *h-inductive* sentences  $\forall x (\varphi(x) \rightarrow \psi(x))$      $\varphi, \psi$  positive.
- $M$  is *positively existentially closed* (pec)



## A tale of homomorphisms

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

- Positive logic: to define sets, you are only allowed positive formulas: the closure of atomic formulas under  $\wedge, \vee, \exists, \top, \perp$ .
- What is special about these formulas?  $\varphi(x)$  is positive if and only if for all homomorphisms  $f: M \rightarrow N$ , we have  $M \models \varphi(a) \implies N \models \varphi(f(a))$ .
- Axioms are allowed to express inclusions between definable sets. They are *h-inductive* sentences  $\forall x (\varphi(x) \rightarrow \psi(x))$      $\varphi, \psi$  positive.
- $M$  is *positively existentially closed* (pec) iff for every positive  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is a homomorphism  $f: M \rightarrow N$  such that  $N \models \exists y \varphi(f(a), y)$ , then  $M \models \exists y \varphi(a, y)$ .

## A tale of homomorphisms

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

- Positive logic: to define sets, you are only allowed positive formulas: the closure of atomic formulas under  $\wedge, \vee, \exists, \top, \perp$ .
- What is special about these formulas?  $\varphi(x)$  is positive if and only if for all homomorphisms  $f: M \rightarrow N$ , we have  $M \models \varphi(a) \implies N \models \varphi(f(a))$ .
- Axioms are allowed to express inclusions between definable sets. They are *h-inductive* sentences  $\forall x (\varphi(x) \rightarrow \psi(x))$      $\varphi, \psi$  positive.
- $M$  is *positively existentially closed* (pec) iff for every positive  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is a homomorphism  $f: M \rightarrow N$  such that  $N \models \exists y \varphi(f(a), y)$ , then  $M \models \exists y \varphi(a, y)$ .
- Equivalently, every homomorphism  $f: M \rightarrow N$  is an *immersion*: for positive  $\varphi$ , we have  $M \models \varphi(a) \iff N \models \varphi(f(a))$ .

## A tale of homomorphisms

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

- Positive logic: to define sets, you are only allowed positive formulas: the closure of atomic formulas under  $\wedge, \vee, \exists, \top, \perp$ .
- What is special about these formulas?  $\varphi(x)$  is positive if and only if for all homomorphisms  $f: M \rightarrow N$ , we have  $M \models \varphi(a) \implies N \models \varphi(f(a))$ .
- Axioms are allowed to express inclusions between definable sets. They are *h-inductive* sentences  $\forall x (\varphi(x) \rightarrow \psi(x))$      $\varphi, \psi$  positive.
- $M$  is *positively existentially closed* (pec) iff for every positive  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is a homomorphism  $f: M \rightarrow N$  such that  $N \models \exists y \varphi(f(a), y)$ , then  $M \models \exists y \varphi(a, y)$ .
- Equivalently, every homomorphism  $f: M \rightarrow N$  is an *immersion*: for positive  $\varphi$ , we have  $M \models \varphi(a) \iff N \models \varphi(f(a))$ .
- Analogue of completeness: *joint continuation property* (JCP): like JEP, but with homomorphisms.

## A tale of homomorphisms

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

- Positive logic: to define sets, you are only allowed positive formulas: the closure of atomic formulas under  $\wedge, \vee, \exists, \top, \perp$ .
- What is special about these formulas?  $\varphi(x)$  is positive if and only if for all homomorphisms  $f: M \rightarrow N$ , we have  $M \models \varphi(a) \implies N \models \varphi(f(a))$ .
- Axioms are allowed to express inclusions between definable sets. They are *h-inductive* sentences  $\forall x (\varphi(x) \rightarrow \psi(x))$   $\varphi, \psi$  positive.
- $M$  is *positively existentially closed* (pec) iff for every positive  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is a homomorphism  $f: M \rightarrow N$  such that  $N \models \exists y \varphi(f(a), y)$ , then  $M \models \exists y \varphi(a, y)$ .
- Equivalently, every homomorphism  $f: M \rightarrow N$  is an *immersion*: for positive  $\varphi$ , we have  $M \models \varphi(a) \iff N \models \varphi(f(a))$ .
- Analogue of completeness: *joint continuation property* (JCP): like JEP, but with homomorphisms. Equivalently, if  $T \vdash \neg\varphi \vee \neg\psi$  then  $T \vdash \neg\varphi$  or  $T \vdash \neg\psi$  ( $\varphi, \psi$  positive). (for *h-universal* theories this is the same as being of the form  $\text{Th}_{\forall}(M)$ )

## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are

## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are singletons.

## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are singletons.
- If for every atomic  $\varphi$  we add a symbol  $R_{\neg\varphi}$  and axioms  $\forall x \top \rightarrow (R_{\neg\varphi}(x) \vee \varphi(x))$  and  $\forall x (R_{\neg\varphi}(x) \wedge \varphi(x)) \rightarrow \perp$ , then we recover classical ec models of  $\forall\exists$  theories.

## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are singletons.
- If for every atomic  $\varphi$  we add a symbol  $R_{\neg\varphi}$  and axioms  $\forall x \top \rightarrow (R_{\neg\varphi}(x) \vee \varphi(x))$  and  $\forall x (R_{\neg\varphi}(x) \wedge \varphi(x)) \rightarrow \perp$ , then we recover classical ec models of  $\forall\exists$  theories.
- An *arbitrary* classical theory can be seen as an h-inductive theory by a similar trick: don't stop at atomic  $\varphi$ , add  $R_{\neg\varphi}$  for every formula, inductively (Morleyisation). Then, homomorphisms are elementary embeddings.



## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are singletons.
- If for every atomic  $\varphi$  we add a symbol  $R_{\neg\varphi}$  and axioms  $\forall x \top \rightarrow (R_{\neg\varphi}(x) \vee \varphi(x))$  and  $\forall x (R_{\neg\varphi}(x) \wedge \varphi(x)) \rightarrow \perp$ , then we recover classical ec models of  $\forall\exists$  theories.
- An *arbitrary* classical theory can be seen as an h-inductive theory by a similar trick: don't stop at atomic  $\varphi$ , add  $R_{\neg\varphi}$  for every formula, inductively (Morleyisation). Then, homomorphisms are elementary embeddings.
- Pec linear orders in  $L = \{\leq\} =$

## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are singletons.
- If for every atomic  $\varphi$  we add a symbol  $R_{\neg\varphi}$  and axioms  $\forall x \top \rightarrow (R_{\neg\varphi}(x) \vee \varphi(x))$  and  $\forall x (R_{\neg\varphi}(x) \wedge \varphi(x)) \rightarrow \perp$ , then we recover classical ec models of  $\forall\exists$  theories.
- An *arbitrary* classical theory can be seen as an h-inductive theory by a similar trick: don't stop at atomic  $\varphi$ , add  $R_{\neg\varphi}$  for every formula, inductively (Morleyisation). Then, homomorphisms are elementary embeddings.
- Pec linear orders in  $L = \{\leq\} = \text{singletons}$ .

## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are singletons.
- If for every atomic  $\varphi$  we add a symbol  $R_{\neg\varphi}$  and axioms  $\forall x \top \rightarrow (R_{\neg\varphi}(x) \vee \varphi(x))$  and  $\forall x (R_{\neg\varphi}(x) \wedge \varphi(x)) \rightarrow \perp$ , then we recover classical ec models of  $\forall\exists$  theories.
- An *arbitrary* classical theory can be seen as an h-inductive theory by a similar trick: don't stop at atomic  $\varphi$ , add  $R_{\neg\varphi}$  for every formula, inductively (Morleyisation). Then, homomorphisms are elementary embeddings.
- Pec linear orders in  $L = \{\leq\} = \text{singletons}$ . In  $L = \{<\}$ , we recover DLO.

## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are singletons.
- If for every atomic  $\varphi$  we add a symbol  $R_{\neg\varphi}$  and axioms  $\forall x \top \rightarrow (R_{\neg\varphi}(x) \vee \varphi(x))$  and  $\forall x (R_{\neg\varphi}(x) \wedge \varphi(x)) \rightarrow \perp$ , then we recover classical ec models of  $\forall\exists$  theories.
- An *arbitrary* classical theory can be seen as an h-inductive theory by a similar trick: don't stop at atomic  $\varphi$ , add  $R_{\neg\varphi}$  for every formula, inductively (Morleyisation). Then, homomorphisms are elementary embeddings.
- Pec linear orders in  $L = \{\leq\} =$  singletons. In  $L = \{<\}$ , we recover DLO.
- If  $L = \{\neq\} \cup \{P_i \mid i < \omega\}$ , and  $T$  says that the  $P_i$  are infinite and pairwise disjoint, then we have arbitrarily large pec models, but every point of a pec model belongs to some  $P_i$ .

## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are singletons.
- If for every atomic  $\varphi$  we add a symbol  $R_{\neg\varphi}$  and axioms  $\forall x \top \rightarrow (R_{\neg\varphi}(x) \vee \varphi(x))$  and  $\forall x (R_{\neg\varphi}(x) \wedge \varphi(x)) \rightarrow \perp$ , then we recover classical ec models of  $\forall\exists$  theories.
- An *arbitrary* classical theory can be seen as an h-inductive theory by a similar trick: don't stop at atomic  $\varphi$ , add  $R_{\neg\varphi}$  for every formula, inductively (Morleyisation). Then, homomorphisms are elementary embeddings.
- Pec linear orders in  $L = \{\leq\} =$  singletons. In  $L = \{<\}$ , we recover DLO.
- If  $L = \{\neq\} \cup \{P_i \mid i < \omega\}$ , and  $T$  says that the  $P_i$  are infinite and pairwise disjoint, then we have arbitrarily large pec models, but every point of a pec model belongs to some  $P_i$ .
- The h-inductive theory of  $M = (\omega, \leq, 0, 1, 2, \dots)$  has 2 pec models:

## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are singletons.
- If for every atomic  $\varphi$  we add a symbol  $R_{\neg\varphi}$  and axioms  $\forall x \top \rightarrow (R_{\neg\varphi}(x) \vee \varphi(x))$  and  $\forall x (R_{\neg\varphi}(x) \wedge \varphi(x)) \rightarrow \perp$ , then we recover classical ec models of  $\forall\exists$  theories.
- An *arbitrary* classical theory can be seen as an h-inductive theory by a similar trick: don't stop at atomic  $\varphi$ , add  $R_{\neg\varphi}$  for every formula, inductively (Morleyisation). Then, homomorphisms are elementary embeddings.
- Pec linear orders in  $L = \{\leq\} =$  singletons. In  $L = \{<\}$ , we recover DLO.
- If  $L = \{\neq\} \cup \{P_i \mid i < \omega\}$ , and  $T$  says that the  $P_i$  are infinite and pairwise disjoint, then we have arbitrarily large pec models, but every point of a pec model belongs to some  $P_i$ .
- The h-inductive theory of  $M = (\omega, \leq, 0, 1, 2, \dots)$  has 2 pec models:  $M$  and  $\omega + 1$ .

## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are singletons.
- If for every atomic  $\varphi$  we add a symbol  $R_{\neg\varphi}$  and axioms  $\forall x \top \rightarrow (R_{\neg\varphi}(x) \vee \varphi(x))$  and  $\forall x (R_{\neg\varphi}(x) \wedge \varphi(x)) \rightarrow \perp$ , then we recover classical ec models of  $\forall\exists$  theories.
- An *arbitrary* classical theory can be seen as an h-inductive theory by a similar trick: don't stop at atomic  $\varphi$ , add  $R_{\neg\varphi}$  for every formula, inductively (Morleyisation). Then, homomorphisms are elementary embeddings.
- Pec linear orders in  $L = \{\leq\} =$  singletons. In  $L = \{<\}$ , we recover DLO.
- If  $L = \{\neq\} \cup \{P_i \mid i < \omega\}$ , and  $T$  says that the  $P_i$  are infinite and pairwise disjoint, then we have arbitrarily large pec models, but every point of a pec model belongs to some  $P_i$ .
- The h-inductive theory of  $M = (\omega, \leq, 0, 1, 2, \dots)$  has 2 pec models:  $M$  and  $\omega + 1$ .
- There are theories with only *bounded* pec models which are not finite.

## Examples

- If  $L = \emptyset$  and  $T = \emptyset$ , pec models are singletons.
- If for every atomic  $\varphi$  we add a symbol  $R_{\neg\varphi}$  and axioms  $\forall x \top \rightarrow (R_{\neg\varphi}(x) \vee \varphi(x))$  and  $\forall x (R_{\neg\varphi}(x) \wedge \varphi(x)) \rightarrow \perp$ , then we recover classical ec models of  $\forall\exists$  theories.
- An *arbitrary* classical theory can be seen as an h-inductive theory by a similar trick: don't stop at atomic  $\varphi$ , add  $R_{\neg\varphi}$  for every formula, inductively (Morleyisation). Then, homomorphisms are elementary embeddings.
- Pec linear orders in  $L = \{\leq\} =$  singletons. In  $L = \{<\}$ , we recover DLO.
- If  $L = \{\neq\} \cup \{P_i \mid i < \omega\}$ , and  $T$  says that the  $P_i$  are infinite and pairwise disjoint, then we have arbitrarily large pec models, but every point of a pec model belongs to some  $P_i$ .
- The h-inductive theory of  $M = (\omega, \leq, 0, 1, 2, \dots)$  has 2 pec models:  $M$  and  $\omega + 1$ .
- There are theories with only *bounded* pec models which are not finite. [Types?](#)
- Why bother? Hyperimaginaries, neostability... [More](#)



## NIP in positive logic

$T$  h-inductive with JCP. A positive  $\varphi(x, y)$  has IP iff there are some  $M \models T$ , and tuples  $(a_i)_{i \in \omega}$ ,  $(b_W)_{W \in \mathcal{P}(\omega)}$  in  $M$  such that

$$i \in W \Rightarrow M \models \varphi(a_i; b_W)$$

## NIP in positive logic

$T$  h-inductive with JCP. A positive  $\varphi(x, y)$  has IP iff there are a positive  $\psi(x, y)$ , some  $M \models T$ , and tuples  $(a_i)_{i \in \omega}$ ,  $(b_W)_{W \in \mathcal{P}(\omega)}$  in  $M$  such that

$$i \in W \Rightarrow M \models \varphi(a_i; b_W) \quad i \notin W \Rightarrow M \models \psi(a_i; b_W) \quad T \vdash \forall x, y (\varphi(x; y) \wedge \psi(x; y)) \rightarrow \perp$$

## NIP in positive logic

$T$  h-inductive with JCP. A positive  $\varphi(x, y)$  has IP iff there are a positive  $\psi(x, y)$ , some  $M \models T$ , and tuples  $(a_i)_{i \in \omega}$ ,  $(b_W)_{W \in \mathcal{P}(\omega)}$  in  $M$  such that

$$i \in W \Rightarrow M \models \varphi(a_i; b_W) \quad i \notin W \Rightarrow M \models \psi(a_i; b_W) \quad T \vdash \forall x, y (\varphi(x; y) \wedge \psi(x; y)) \rightarrow \perp$$

- The spirit is: witnesses should be preserved by homomorphisms.

## NIP in positive logic

$T$  h-inductive with JCP. A positive  $\varphi(x, y)$  has IP iff there are a positive  $\psi(x, y)$ , some  $M \models T$ , and tuples  $(a_i)_{i \in \omega}$ ,  $(b_W)_{W \in \mathcal{P}(\omega)}$  in  $M$  such that

$$i \in W \Rightarrow M \models \varphi(a_i; b_W) \quad i \notin W \Rightarrow M \models \psi(a_i; b_W) \quad T \vdash \forall x, y (\varphi(x; y) \wedge \psi(x; y)) \rightarrow \perp$$

- The spirit is: witnesses should be preserved by homomorphisms.
- Also: in a pec  $M \models T$ , “negative things happen for a positive reason”: if  $M \models \neg\varphi(a)$ , there is  $\psi$  with  $M \models \psi(a)$  and  $T \vdash \forall x (\varphi(x) \wedge \psi(x)) \rightarrow \perp$ .

## NIP in positive logic

$T$  h-inductive with JCP. A positive  $\varphi(x, y)$  has IP iff there are a positive  $\psi(x, y)$ , some  $M \models T$ , and tuples  $(a_i)_{i \in \omega}$ ,  $(b_W)_{W \in \mathcal{P}(\omega)}$  in  $M$  such that

$$i \in W \Rightarrow M \models \varphi(a_i; b_W) \quad i \notin W \Rightarrow M \models \psi(a_i; b_W) \quad T \vdash \forall x, y (\varphi(x; y) \wedge \psi(x; y)) \rightarrow \perp$$

- The spirit is: witnesses should be preserved by homomorphisms.
- Also: in a pec  $M \models T$ , “negative things happen for a positive reason”: if  $M \models \neg\varphi(a)$ , there is  $\psi$  with  $M \models \psi(a)$  and  $T \vdash \forall x (\varphi(x) \wedge \psi(x)) \rightarrow \perp$ .

Some things generalise easily from the classical case, some are more delicate.

More

## NIP in positive logic

$T$  h-inductive with JCP. A positive  $\varphi(x, y)$  has IP iff there are a positive  $\psi(x, y)$ , some  $M \models T$ , and tuples  $(a_i)_{i \in \omega}$ ,  $(b_W)_{W \in \mathcal{P}(\omega)}$  in  $M$  such that

$$i \in W \Rightarrow M \models \varphi(a_i; b_W) \quad i \notin W \Rightarrow M \models \psi(a_i; b_W) \quad T \vdash \forall x, y (\varphi(x; y) \wedge \psi(x; y)) \rightarrow \perp$$

- The spirit is: witnesses should be preserved by homomorphisms.
- Also: in a pec  $M \models T$ , “negative things happen for a positive reason”: if  $M \models \neg\varphi(a)$ , there is  $\psi$  with  $M \models \psi(a)$  and  $T \vdash \forall x (\varphi(x) \wedge \psi(x)) \rightarrow \perp$ .

Some things generalise easily from the classical case, some are more delicate.

[More](#)

**Example (de Aldama Sánchez/Dobrowolski–M.)**

The positive theory of DLO's with a  $G$ -action by automorphisms is NIP.

[Proof](#)

## Pec OAGAs: basic properties

$\mathbb{Q}$ -OVSA :=  $(L_{\text{oag}} \cup \{\sigma, \sigma^{-1}, q \cdot - \mid q \in \mathbb{Q}\})$ -theory of  $\mathbb{Q}$ -OVS's with auto.

(pec OAGAs are divisible:  $\sigma$  extends (uniquely) to the divisible hull, so pass to ordered  $\mathbb{Q}$ -vector spaces)

- In a pec  $(M, \sigma)$ , the fixed group  $\{x \mid \sigma(x) = x\}$  has arbitrarily large/small points

## Pec OAGAs: basic properties

$\mathbb{Q}$ -OVSA :=  $(L_{\text{oag}} \cup \{\sigma, \sigma^{-1}, q \cdot - \mid q \in \mathbb{Q}\})$ -theory of  $\mathbb{Q}$ -OVS's with auto.

(pec OAGAs are divisible:  $\sigma$  extends (uniquely) to the divisible hull, so pass to ordered  $\mathbb{Q}$ -vector spaces)

- In a pec  $(M, \sigma)$ , the fixed group  $\{x \mid \sigma(x) = x\}$  has arbitrarily large/small points:  
look at  $(\mathbb{Q}, \text{id}) \times_{\text{lex}} (M, \sigma) \times_{\text{lex}} (\mathbb{Q}, \text{id})$ .



## Pec OAGAs: basic properties

$\mathbb{Q}$ -OVSA :=  $(L_{\text{oag}} \cup \{\sigma, \sigma^{-1}, q \cdot - \mid q \in \mathbb{Q}\})$ -theory of  $\mathbb{Q}$ -OVS's with auto.

(pec OAGAs are divisible:  $\sigma$  extends (uniquely) to the divisible hull, so pass to ordered  $\mathbb{Q}$ -vector spaces)

- In a pec  $(M, \sigma)$ , the fixed group  $\{x \mid \sigma(x) = x\}$  has arbitrarily large/small points: look at  $(\mathbb{Q}, \text{id}) \times_{\text{lex}} (M, \sigma) \times_{\text{lex}} (\mathbb{Q}, \text{id})$ .
- Fixed group is codense: if  $(a, b)$  only has fixed points, add infinitesimals  $\varepsilon_{i-1} \ll \varepsilon_i \ll \varepsilon_{i+1}$  acted upon by shift, consider  $(a + b)/2 + \varepsilon_i$ .

## Pec OAGAs: basic properties

$\mathbb{Q}$ -OVSA :=  $(L_{\text{oag}} \cup \{\sigma, \sigma^{-1}, q \cdot - \mid q \in \mathbb{Q}\})$ -theory of  $\mathbb{Q}$ -OVS's with auto.

(pec OAGAs are divisible:  $\sigma$  extends (uniquely) to the divisible hull, so pass to ordered  $\mathbb{Q}$ -vector spaces)

- In a pec  $(M, \sigma)$ , the fixed group  $\{x \mid \sigma(x) = x\}$  has arbitrarily large/small points: look at  $(\mathbb{Q}, \text{id}) \times_{\text{lex}} (M, \sigma) \times_{\text{lex}} (\mathbb{Q}, \text{id})$ .
- Fixed group is codense: if  $(a, b)$  only has fixed points, add infinitesimals  $\varepsilon_{i-1} \ll \varepsilon_i \ll \varepsilon_{i+1}$  acted upon by shift, consider  $(a + b)/2 + \varepsilon_i$ .
- Even better: in  $\omega$ -saturated pec  $M$ , for finite  $A \subseteq M$ , the set  $\text{cl}_M(A) := \{\text{solutions of } \sigma\text{-equations with parameters in } A\}$  is codense

## Pec OAGAs: basic properties

$\mathbb{Q}$ -OVSA :=  $(L_{\text{oag}} \cup \{\sigma, \sigma^{-1}, q \cdot - \mid q \in \mathbb{Q}\})$ -theory of  $\mathbb{Q}$ -OVS's with auto.

(pec OAGAs are divisible:  $\sigma$  extends (uniquely) to the divisible hull, so pass to ordered  $\mathbb{Q}$ -vector spaces)

- In a pec  $(M, \sigma)$ , the fixed group  $\{x \mid \sigma(x) = x\}$  has arbitrarily large/small points: look at  $(\mathbb{Q}, \text{id}) \times_{\text{lex}} (M, \sigma) \times_{\text{lex}} (\mathbb{Q}, \text{id})$ .
- Fixed group is codense: if  $(a, b)$  only has fixed points, add infinitesimals  $\varepsilon_{i-1} \ll \varepsilon_i \ll \varepsilon_{i+1}$  acted upon by shift, consider  $(a + b)/2 + \varepsilon_i$ .
- Even better: in  $\omega$ -saturated pec  $M$ , for finite  $A \subseteq M$ , the set  $\text{cl}_M(A) := \{\text{solutions of } \sigma\text{-equations with parameters in } A\}$  is codense: no interval is covered by finitely many  $f(x) = d$ .

## Pec OAGAs: basic properties

$\mathbb{Q}$ -OVSA :=  $(L_{\text{oag}} \cup \{\sigma, \sigma^{-1}, q \cdot - \mid q \in \mathbb{Q}\})$ -theory of  $\mathbb{Q}$ -OVS's with auto.

(pec OAGAs are divisible:  $\sigma$  extends (uniquely) to the divisible hull, so pass to ordered  $\mathbb{Q}$ -vector spaces)

- In a pec  $(M, \sigma)$ , the fixed group  $\{x \mid \sigma(x) = x\}$  has arbitrarily large/small points: look at  $(\mathbb{Q}, \text{id}) \times_{\text{lex}} (M, \sigma) \times_{\text{lex}} (\mathbb{Q}, \text{id})$ .
- Fixed group is codense: if  $(a, b)$  only has fixed points, add infinitesimals  $\varepsilon_{i-1} \ll \varepsilon_i \ll \varepsilon_{i+1}$  acted upon by shift, consider  $(a + b)/2 + \varepsilon_i$ .
- Even better: in  $\omega$ -saturated pec  $M$ , for finite  $A \subseteq M$ , the set  $\text{cl}_M(A) := \{\text{solutions of } \sigma\text{-equations with parameters in } A\}$  is codense: no interval is covered by finitely many  $f(x) = d$ .
- $\text{cl}_M$  is a pregeometry, but even  $\text{cl}_M(\emptyset)$  grows with  $M$  (fixed points!).

## Pec OAGAs: basic properties

$\mathbb{Q}$ -OVSA :=  $(L_{\text{oag}} \cup \{\sigma, \sigma^{-1}, q \cdot - \mid q \in \mathbb{Q}\})$ -theory of  $\mathbb{Q}$ -OVS's with auto.

(pec OAGAs are divisible:  $\sigma$  extends (uniquely) to the divisible hull, so pass to ordered  $\mathbb{Q}$ -vector spaces)

- In a pec  $(M, \sigma)$ , the fixed group  $\{x \mid \sigma(x) = x\}$  has arbitrarily large/small points: look at  $(\mathbb{Q}, \text{id}) \times_{\text{lex}} (M, \sigma) \times_{\text{lex}} (\mathbb{Q}, \text{id})$ .
- Fixed group is codense: if  $(a, b)$  only has fixed points, add infinitesimals  $\varepsilon_{i-1} \ll \varepsilon_i \ll \varepsilon_{i+1}$  acted upon by shift, consider  $(a + b)/2 + \varepsilon_i$ .
- Even better: in  $\omega$ -saturated pec  $M$ , for finite  $A \subseteq M$ , the set  $\text{cl}_M(A) := \{\text{solutions of } \sigma\text{-equations with parameters in } A\}$  is codense: no interval is covered by finitely many  $f(x) = d$ .
- $\text{cl}_M$  is a pregeometry, but even  $\text{cl}_M(\emptyset)$  grows with  $M$  (fixed points!).
- Solutions to  $f(x) = d$  form a translate of a  $\mathbb{Q}[\sigma, \sigma^{-1}]$ -submodule. In particular, they have size 0, 1, or infinite.

## Pec OAGAs: basic properties

$\mathbb{Q}$ -OVSA :=  $(L_{\text{oag}} \cup \{\sigma, \sigma^{-1}, q \cdot - \mid q \in \mathbb{Q}\})$ -theory of  $\mathbb{Q}$ -OVS's with auto.

(pec OAGAs are divisible:  $\sigma$  extends (uniquely) to the divisible hull, so pass to ordered  $\mathbb{Q}$ -vector spaces)

- In a pec  $(M, \sigma)$ , the fixed group  $\{x \mid \sigma(x) = x\}$  has arbitrarily large/small points: look at  $(\mathbb{Q}, \text{id}) \times_{\text{lex}} (M, \sigma) \times_{\text{lex}} (\mathbb{Q}, \text{id})$ .
- Fixed group is codense: if  $(a, b)$  only has fixed points, add infinitesimals  $\varepsilon_{i-1} \ll \varepsilon_i \ll \varepsilon_{i+1}$  acted upon by shift, consider  $(a + b)/2 + \varepsilon_i$ .
- Even better: in  $\omega$ -saturated pec  $M$ , for finite  $A \subseteq M$ , the set  $\text{cl}_M(A) := \{\text{solutions of } \sigma\text{-equations with parameters in } A\}$  is codense: no interval is covered by finitely many  $f(x) = d$ .
- $\text{cl}_M$  is a pregeometry, but even  $\text{cl}_M(\emptyset)$  grows with  $M$  (fixed points!).
- Solutions to  $f(x) = d$  form a translate of a  $\mathbb{Q}[\sigma, \sigma^{-1}]$ -submodule. In particular, they have size 0, 1, or infinite.
- The fixed group has more induced structure than a  $\mathbb{Q}$ -OVS:  $\exists z \in (x_0, x_1) \sigma^2(z) = \sigma(z) + z$  induces  $\bigwedge_{n \in \mathbb{Z}} x_1 > n \cdot x_0$ .

## Pec OAGAs: basic properties

$\mathbb{Q}$ -OVSA :=  $(L_{\text{oag}} \cup \{\sigma, \sigma^{-1}, q \cdot - \mid q \in \mathbb{Q}\})$ -theory of  $\mathbb{Q}$ -OVS's with auto.

(pec OAGAs are divisible:  $\sigma$  extends (uniquely) to the divisible hull, so pass to ordered  $\mathbb{Q}$ -vector spaces)

- In a pec  $(M, \sigma)$ , the fixed group  $\{x \mid \sigma(x) = x\}$  has arbitrarily large/small points: look at  $(\mathbb{Q}, \text{id}) \times_{\text{lex}} (M, \sigma) \times_{\text{lex}} (\mathbb{Q}, \text{id})$ .
- Fixed group is codense: if  $(a, b)$  only has fixed points, add infinitesimals  $\varepsilon_{i-1} \ll \varepsilon_i \ll \varepsilon_{i+1}$  acted upon by shift, consider  $(a + b)/2 + \varepsilon_i$ .
- Even better: in  $\omega$ -saturated pec  $M$ , for finite  $A \subseteq M$ , the set  $\text{cl}_M(A) := \{\text{solutions of } \sigma\text{-equations with parameters in } A\}$  is codense: no interval is covered by finitely many  $f(x) = d$ .
- $\text{cl}_M$  is a pregeometry, but even  $\text{cl}_M(\emptyset)$  grows with  $M$  (fixed points!).
- Solutions to  $f(x) = d$  form a translate of a  $\mathbb{Q}[\sigma, \sigma^{-1}]$ -submodule. In particular, they have size 0, 1, or infinite.
- The fixed group has more induced structure than a  $\mathbb{Q}$ -OVS:  $\exists z \in (x_0, x_1) \sigma^2(z) = \sigma(z) + z$  induces  $\bigwedge_{n \in \mathbb{Z}} x_1 > n \cdot x_0$ .
- Genericity prevents  $\mathbb{Q}[\sigma, \sigma^{-1}]$  from being viewed as an ordered ring!

## Main results

### Theorem (Dobrowolski–M.)

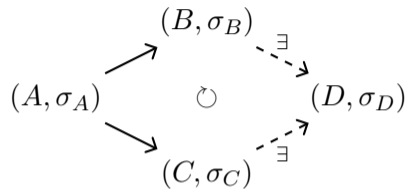
Oags with an automorphism have the AP.



## Main results

### Theorem (Dobrowolski–M.)

Oags with an automorphism have the AP.



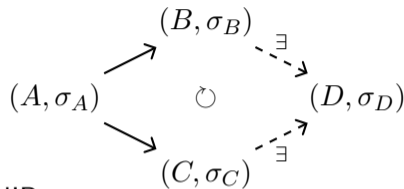
## Main results

## Theorem (Dobrowolski–M.)

Oags with an automorphism have the AP.

## Corollary (Dobrowolski–M.)

The positive theory  $\text{OAGA}_{(\text{oags w/ automorphism})}$  is NIP.



## Main results

### Theorem (Dobrowolski–M.)

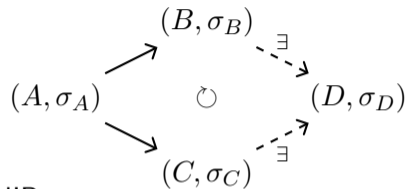
Oags with an automorphism have the AP.

### Corollary (Dobrowolski–M.)

The positive theory  $\text{OAGA}_{(\text{oags w/ automorphism})}$  is NIP.

### Theorem to Corollary: proof idea.

- Enough to check NIP on pec structures.



## Main results

### Theorem (Dobrowolski–M.)

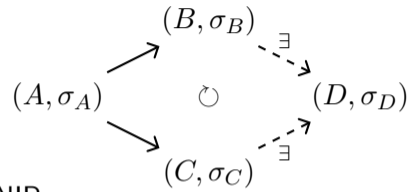
Oags with an automorphism have the AP.

### Corollary (Dobrowolski–M.)

The positive theory  $\text{OAGA}_{(\text{oags w/ automorphism})}$  is NIP.

### Theorem to Corollary: proof idea.

- Enough to check NIP on pec structures.
- In this theory, positive q.f. formulas are closed under negation.



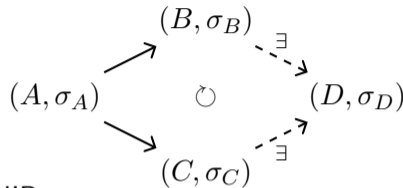
## Main results

## Theorem (Dobrowolski–M.)

Oags with an automorphism have the AP.

## Corollary (Dobrowolski–M.)

The positive theory  $\text{OAGA}_{(\text{oags w/ automorphism})}$  is NIP.



## Theorem to Corollary: proof idea.

- Enough to check NIP on pec structures.
- In this theory, positive q.f. formulas are closed under negation.
- In this setting, by classical results, AP implies: on pec models, every positive  $\varphi(x)$  is equivalent to some  $\bigwedge_{i < \omega} \varphi_i(x)$ , with  $\varphi_i$  q.f.

(cf.: the model companion of a universal  $T$  has quantifier elimination if and only if models of  $T$  have AP)

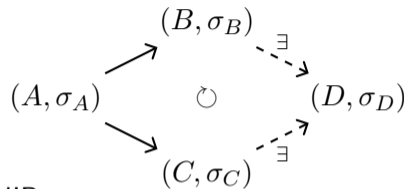
## Main results

### Theorem (Dobrowolski–M.)

Oags with an automorphism have the AP.

### Corollary (Dobrowolski–M.)

The positive theory  $\text{OAGA}_{(\text{oags w/ automorphism})}$  is NIP.



### Theorem to Corollary: proof idea.

- Enough to check NIP on pec structures.
- In this theory, positive q.f. formulas are closed under negation.
- In this setting, by classical results, AP implies: on pec models, every positive  $\varphi(x)$  is equivalent to some  $\bigwedge_{i < \omega} \varphi_i(x)$ , with  $\varphi_i$  q.f.

(cf.: the model companion of a universal  $T$  has quantifier elimination if and only if models of  $T$  have AP)

- Q.f. formulas are easily shown to be NIP.



## The *real* results

... were the lemmas we proved along the way?

Proving NIP generated three results of independent interest. First: AP.

## The *real* results

... were the lemmas we proved along the way?

Proving NIP generated three results of independent interest. First: AP. Second:

### Theorem (Dobrowolski–M.)

Let  $(A, \sigma_A) \models \text{OAGA}$ . There is an ordered  $\mathbb{R}$ -vector space  $(B, \sigma_B) \supseteq (A, \sigma_A)$  with  $\sigma_B$  an automorphism **of ordered  $\mathbb{R}$ -vector spaces**.

This can be done in a way that allows to transfer AP from  $\mathbb{R}$  to  $\mathbb{Q}$ .



## The *real* results

... were the lemmas we proved along the way?

Proving NIP generated three results of independent interest. First: AP. Second:

### Theorem (Dobrowolski–M.)

Let  $(A, \sigma_A) \models \text{OAGA}$ . There is an ordered  $\mathbb{R}$ -vector space  $(B, \sigma_B) \supseteq (A, \sigma_A)$  with  $\sigma_B$  an automorphism **of ordered  $\mathbb{R}$ -vector spaces**.

This can be done in a way that allows to transfer AP from  $\mathbb{R}$  to  $\mathbb{Q}$ .

Third:

### Theorem (Dobrowolski–M.)

Let  $M$  be a pec  $\mathbb{R}$ -OVSA. Every  $\sum_{i=0}^n \lambda_i \sigma^i(x)$  has the IVP.

## The *real* results

... were the lemmas we proved along the way?

Proving NIP generated three results of independent interest. First: AP. Second:

### Theorem (Dobrowolski–M.)

Let  $(A, \sigma_A) \models \text{OAGA}$ . There is an ordered  $\mathbb{R}$ -vector space  $(B, \sigma_B) \supseteq (A, \sigma_A)$  with  $\sigma_B$  an automorphism **of ordered  $\mathbb{R}$ -vector spaces**.

This can be done in a way that allows to transfer AP from  $\mathbb{R}$  to  $\mathbb{Q}$ .

Third:

### Theorem (Dobrowolski–M.)

Let  $M$  be a pec  $\mathbb{R}$ -OVSA. Every  $\sum_{i=0}^n \lambda_i \sigma^i(x)$  has the IVP. So does every  $\min_{j \leq k} f_j(x)$ , with  $f_j(x) = \sum \lambda_i \sigma^i(x) + d_j$ .

These turned out to be trickier than expected. Why?

## Getting AP: proof strategy

- *Absolutely monotone*  $\sigma$ -polynomials are invertible on pec structures.  
:= monotone on *every* OVSA, e.g.  $\sigma^2(x) + 5\sigma(x) + 7x$

## Getting AP: proof strategy

- *Absolutely monotone*  $\sigma$ -polynomials are invertible on pec structures.

$\text{:= monotone on every OVSA, e.g. } \sigma^2(x) + 5\sigma(x) + 7x$

by  $\downarrow$  also  $\sigma^2(x) - \sigma(x) + x$ .

- Characterisation:  $\sum \lambda_i \sigma^i(x)$  abs. mon.  $\iff \sum \lambda_i y^i$  has no positive real root.

## Getting AP: proof strategy

- *Absolutely monotone*  $\sigma$ -polynomials are invertible on pec structures.

$\text{:= monotone on every OVSA, e.g. } \sigma^2(x) + 5\sigma(x) + 7x$

by  $\downarrow$  also  $\sigma^2(x) - \sigma(x) + x$ .

- Characterisation:  $\sum \lambda_i \sigma^i(x)$  abs. mon.  $\iff \sum \lambda_i y^i$  has no positive real root.
- Pass to the  $\mathbb{R}$  case, so polynomials factorise easily.

(we also need completeness of  $\mathbb{R}$  to use asymptotics:  $\sigma(x) \asymp x \implies \exists r \in \mathbb{R}_{>0} \sigma(x) \sim r \cdot x$ )

## Getting AP: proof strategy

- *Absolutely monotone*  $\sigma$ -polynomials are invertible on pec structures.

$\text{:= monotone on every OVSA, e.g. } \sigma^2(x) + 5\sigma(x) + 7x$

by  $\downarrow$  also  $\sigma^2(x) - \sigma(x) + x$ .

- Characterisation:  $\sum \lambda_i \sigma^i(x)$  abs. mon.  $\iff \sum \lambda_i y^i$  has no positive real root.
- Pass to the  $\mathbb{R}$  case, so polynomials factorise easily.

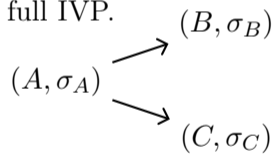
(we also need completeness of  $\mathbb{R}$  to use asymptotics:  $\sigma(x) \asymp x \implies \exists r \in \mathbb{R}_{>0} \sigma(x) \sim r \cdot x$ )

- Prove IVP by hand for  $\sigma(x) - \lambda x$ , factorise  $\implies$  full IVP.

(composition of IVP is IVP!)

## Getting AP: proof strategy

- *Absolutely monotone*  $\sigma$ -polynomials are invertible on pec structures.  
 $\text{:= monotone on every OVSA, e.g. } \sigma^2(x) + 5\sigma(x) + 7x$  by  $\downarrow$  also  $\sigma^2(x) - \sigma(x) + x$ .
- Characterisation:  $\sum \lambda_i \sigma^i(x)$  abs. mon.  $\iff \sum \lambda_i y^i$  has no positive real root.
- Pass to the  $\mathbb{R}$  case, so polynomials factorise easily.  
(we also need completeness of  $\mathbb{R}$  to use asymptotics:  $\sigma(x) \asymp x \implies \exists r \in \mathbb{R}_{>0} \sigma(x) \sim r \cdot x$ )
- Prove IVP by hand for  $\sigma(x) - \lambda x$ , factorise  $\implies$  full IVP.
- Use IVP to amalgamate  $\sigma$ -algebraic points.



## Getting AP: proof strategy

- *Absolutely monotone*  $\sigma$ -polynomials are invertible on pec structures.

$\text{:= monotone on every OVSA, e.g. } \sigma^2(x) + 5\sigma(x) + 7x$

by  $\downarrow$  also  $\sigma^2(x) - \sigma(x) + x$ .

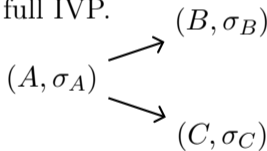
- Characterisation:  $\sum \lambda_i \sigma^i(x)$  abs. mon.  $\iff \sum \lambda_i y^i$  has no positive real root.
- Pass to the  $\mathbb{R}$  case, so polynomials factorise easily.

(we also need completeness of  $\mathbb{R}$  to use asymptotics:  $\sigma(x) \asymp x \implies \exists r \in \mathbb{R}_{>0} \sigma(x) \sim r \cdot x$ )

- Prove IVP by hand for  $\sigma(x) - \lambda x$ , factorise  $\implies$  full IVP.

(composition of IVP is IVP!)

- Use IVP to amalgamate  $\sigma$ -algebraic points.
- Reduce to  $A$  “ $\sigma$ -algebraically closed” in pec  $B$ .





## Getting AP: proof strategy

- *Absolutely monotone*  $\sigma$ -polynomials are invertible on pec structures.

$\text{:= monotone on every OVSA, e.g. } \sigma^2(x) + 5\sigma(x) + 7x$

by  $\downarrow$  also  $\sigma^2(x) - \sigma(x) + x$ .

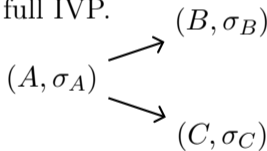
- Characterisation:  $\sum \lambda_i \sigma^i(x)$  abs. mon.  $\iff \sum \lambda_i y^i$  has no positive real root.
- Pass to the  $\mathbb{R}$  case, so polynomials factorise easily.

(we also need completeness of  $\mathbb{R}$  to use asymptotics:  $\sigma(x) \asymp x \implies \exists r \in \mathbb{R}_{>0} \sigma(x) \sim r \cdot x$ )

- Prove IVP by hand for  $\sigma(x) - \lambda x$ , factorise  $\implies$  full IVP.

(composition of IVP is IVP!)

- Use IVP to amalgamate  $\sigma$ -algebraic points.
- Reduce to  $A$  “ $\sigma$ -algebraically closed” in pec  $B$ .
- IVP for minima  $\implies A$  is “1 free variable-pec”.



Idea:  $A$  closed in pec  $B$  implies  $A_{>0}$  coinital in  $B_{>0}$  and belonging to an open cell can be written as  $\min_i f_i(x) > 0$ .

## Getting AP: proof strategy

- *Absolutely monotone*  $\sigma$ -polynomials are invertible on pec structures.

$\text{:= monotone on every OVSA, e.g. } \sigma^2(x) + 5\sigma(x) + 7x$

by  $\downarrow$  also  $\sigma^2(x) - \sigma(x) + x$ .

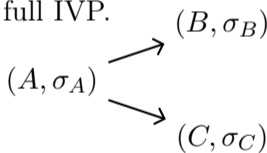
- Characterisation:  $\sum \lambda_i \sigma^i(x)$  abs. mon.  $\iff \sum \lambda_i y^i$  has no positive real root.
- Pass to the  $\mathbb{R}$  case, so polynomials factorise easily.

(we also need completeness of  $\mathbb{R}$  to use asymptotics:  $\sigma(x) \asymp x \implies \exists r \in \mathbb{R}_{>0} \sigma(x) \sim r \cdot x$ )

- Prove IVP by hand for  $\sigma(x) - \lambda x$ , factorise  $\implies$  full IVP.

(composition of IVP is IVP!)

- Use IVP to amalgamate  $\sigma$ -algebraic points.
- Reduce to  $A$  “ $\sigma$ -algebraically closed” in pec  $B$ .
- IVP for minima  $\implies A$  is “1 free variable-pec”.



Idea:  $A$  closed in pec  $B$  implies  $A_{>0}$  coinital in  $B_{>0}$  and belonging to an open cell can be written as  $\min_i f_i(x) > 0$ .

- This + compactness  $\implies$  amalgamate  $\sigma$ -transcendental  $b \in B$ .

## Getting AP: proof strategy

- *Absolutely monotone*  $\sigma$ -polynomials are invertible on pec structures.

$:=$  monotone on *every* OVSA, e.g.  $\sigma^2(x) + 5\sigma(x) + 7x$

by  $\downarrow$  also  $\sigma^2(x) - \sigma(x) + x$ .

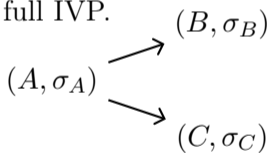
- Characterisation:  $\sum \lambda_i \sigma^i(x)$  abs. mon.  $\iff \sum \lambda_i y^i$  has no positive real root.
- Pass to the  $\mathbb{R}$  case, so polynomials factorise easily.

(we also need completeness of  $\mathbb{R}$  to use asymptotics:  $\sigma(x) \asymp x \implies \exists r \in \mathbb{R}_{>0} \sigma(x) \sim r \cdot x$ )

- Prove IVP by hand for  $\sigma(x) - \lambda x$ , factorise  $\implies$  full IVP.

(composition of IVP is IVP!)

- Use IVP to amalgamate  $\sigma$ -algebraic points.
- Reduce to  $A$  “ $\sigma$ -algebraically closed” in pec  $B$ .
- IVP for minima  $\implies A$  is “1 free variable-pec”.



Idea:  $A$  closed in pec  $B$  implies  $A_{>0}$  coinital in  $B_{>0}$  and belonging to an open cell can be written as  $\min_i f_i(x) > 0$ .

- This + compactness  $\implies$  amalgamate  $\sigma$ -transcendental  $b \in B$ .

Thanks for listening!

Preprint: [arxiv.org/abs/2209.03944](https://arxiv.org/abs/2209.03944)

or scan the QR code:



## Existentially closed structures

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

$K$  a class of  $L$ -structures. Recall:

◀ Back

$M \in K$  is *existentially closed* (ec) in  $K$  iff, for every existential formula  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is an embedding  $M \rightarrow N \in K$  with  $N \models \exists y \varphi(a, y)$ , then

$$M \models \exists y \varphi(a, y).$$

## Existentially closed structures

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

$K$  a class of  $L$ -structures. Recall:

◀ Back

$M \in K$  is *existentially closed* (ec) in  $K$  iff, for every existential formula  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is an embedding  $M \rightarrow N \in K$  with  $N \models \exists y \varphi(a, y)$ , then

$$M \models \exists y \varphi(a, y).$$

- Ec fields = algebraically closed fields.

## Existentially closed structures

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

$K$  a class of  $L$ -structures. Recall:

◀ Back

$M \in K$  is *existentially closed* (ec) in  $K$  iff, for every existential formula  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is an embedding  $M \rightarrow N \in K$  with  $N \models \exists y \varphi(a, y)$ , then

$$M \models \exists y \varphi(a, y).$$

- Ec fields = algebraically closed fields.
- Ec oags = nontrivial divisible oags. (oag = Ordered Abelian Group)

## Existentially closed structures

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

$K$  a class of  $L$ -structures. Recall:

◀ Back

$M \in K$  is *existentially closed* (ec) in  $K$  iff, for every existential formula  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is an embedding  $M \rightarrow N \in K$  with  $N \models \exists y \varphi(a, y)$ , then

$$M \models \exists y \varphi(a, y).$$

- Ec fields = algebraically closed fields.
- Ec oags = nontrivial divisible oags. (oag = Ordered Abelian Group)
- $J$  Fraïssé class,  $K :=$  structures with age  $J \implies$  the Fraïssé limit of  $J$  is ec in  $K$ .

## Existentially closed structures

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

$K$  a class of  $L$ -structures. Recall:

◀ Back

$M \in K$  is *existentially closed* (ec) in  $K$  iff, for every existential formula  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is an embedding  $M \rightarrow N \in K$  with  $N \models \exists y \varphi(a, y)$ , then

$$M \models \exists y \varphi(a, y).$$

- Ec fields = algebraically closed fields.
- Ec oags = nontrivial divisible oags. (oag = Ordered Abelian Group)
- $J$  Fraïssé class,  $K :=$  structures with age  $J \implies$  the Fraïssé limit of  $J$  is ec in  $K$ .
- $K$  inductive := closed under unions of chains  $\implies$  every  $A \in K$  embeds in an ec  $B \in K$ .



## Existentially closed structures

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

$K$  a class of  $L$ -structures. Recall:

◀ Back

$M \in K$  is *existentially closed* (ec) in  $K$  iff, for every existential formula  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is an embedding  $M \rightarrow N \in K$  with  $N \models \exists y \varphi(a, y)$ , then

$$M \models \exists y \varphi(a, y).$$

- Ec fields = algebraically closed fields.
- Ec oags = nontrivial divisible oags. (oag = Ordered Abelian Group)
- $J$  Fraïssé class,  $K :=$  structures with age  $J \implies$  the Fraïssé limit of  $J$  is ec in  $K$ .
- $K$  inductive := closed under unions of chains  $\implies$  every  $A \in K$  embeds in an ec  $B \in K$ .

Suppose  $K = \text{Mod}(T)$ . Then:

## Existentially closed structures

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

$K$  a class of  $L$ -structures. Recall:

◀ Back

$M \in K$  is *existentially closed* (ec) in  $K$  iff, for every existential formula  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is an embedding  $M \rightarrow N \in K$  with  $N \models \exists y \varphi(a, y)$ , then

$$M \models \exists y \varphi(a, y).$$

- Ec fields = algebraically closed fields.
- Ec oags = nontrivial divisible oags. (oag = Ordered Abelian Group)
- $J$  Fraïssé class,  $K :=$  structures with age  $J \implies$  the Fraïssé limit of  $J$  is ec in  $K$ .
- $K$  inductive := closed under unions of chains  $\implies$  every  $A \in K$  embeds in an ec  $B \in K$ .

Suppose  $K = \text{Mod}(T)$ . Then:

- $K$  inductive  $\iff T$  is  $\forall\exists$ -axiomatisable.

## Existentially closed structures

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

$K$  a class of  $L$ -structures. Recall:

◀ Back

$M \in K$  is *existentially closed* (ec) in  $K$  iff, for every existential formula  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is an embedding  $M \rightarrow N \in K$  with  $N \models \exists y \varphi(a, y)$ , then

$$M \models \exists y \varphi(a, y).$$

- Ec fields = algebraically closed fields.
- Ec oags = nontrivial divisible oags. (oag = Ordered Abelian Group)
- $J$  Fraïssé class,  $K :=$  structures with age  $J \implies$  the Fraïssé limit of  $J$  is ec in  $K$ .
- $K$  inductive := closed under unions of chains  $\implies$  every  $A \in K$  embeds in an ec  $B \in K$ .

Suppose  $K = \text{Mod}(T)$ . Then:

- $K$  inductive  $\iff T$  is  $\forall\exists$ -axiomatisable.
- $T$  model complete  $\iff$  every  $M \in K$  is ec.

## Existentially closed structures

Throughout:  $a, b, x, y, \dots$  are allowed to be tuples.

$K$  a class of  $L$ -structures. Recall:

◀ Back

$M \in K$  is *existentially closed* (ec) in  $K$  iff, for every existential formula  $\exists y \varphi(x, y)$  and  $a \in M$ , if there is an embedding  $M \rightarrow N \in K$  with  $N \models \exists y \varphi(a, y)$ , then  $M \models \exists y \varphi(a, y)$ .

- Ec fields = algebraically closed fields.
- Ec oags = nontrivial divisible oags. (oag = Ordered Abelian Group)
- $J$  Fraïssé class,  $K :=$  structures with age  $J \implies$  the Fraïssé limit of  $J$  is ec in  $K$ .
- $K$  inductive := closed under unions of chains  $\implies$  every  $A \in K$  embeds in an ec  $B \in K$ .

Suppose  $K = \text{Mod}(T)$ . Then:

- $K$  inductive  $\iff T$  is  $\forall\exists$ -axiomatisable.
- $T$  model complete  $\iff$  every  $M \in K$  is ec.
- $K^{\text{ec}} := \{M \in K \mid M \text{ ec}\}$  elementary  $\iff T$  has a model companion =  $\text{Th}(K^{\text{ec}})$ .

$T_1, T$  companions := each  $M \models T$  embeds in a  $M_1 \models T_1$  and conversely; model companion := model-complete companion.

## SOP vs generic automorphisms

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist.

## SOP vs generic automorphisms

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist.

Example: let  $T = \text{DLO}$  and let  $(M, \sigma)$  be ec. Then  $\exists x = \sigma(x) \in [a, b] \Leftrightarrow$

## SOP vs generic automorphisms

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist.

Example: let  $T = \text{DLO}$  and let  $(M, \sigma)$  be ec. Then  $\exists x = \sigma(x) \in [a, b] \Leftrightarrow \text{orb}(a) \leq b$ .

## SOP vs generic automorphisms

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist.

Example: let  $T = \text{DLO}$  and let  $(M, \sigma)$  be ec. Then  $\exists x = \sigma(x) \in [a, b] \Leftrightarrow \text{orb}(a) \leq b$ .

Proof: if  $a \leq x = \sigma(x) \leq b$  then  $\sigma^k(a) \leq \sigma^k(x) = x \leq b$ .



## SOP vs generic automorphisms

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist.

Example: let  $T = \text{DLO}$  and let  $(M, \sigma)$  be ec. Then  $\exists x = \sigma(x) \in [a, b] \Leftrightarrow \text{orb}(a) \leq b$ .

Proof: if  $a \leq x = \sigma(x) \leq b$  then  $\sigma^k(a) \leq \sigma^k(x) = x \leq b$ . Conversely, let  $A$  be intersection of all  $(-\infty, c) \supseteq \text{orb}(a)$ .

## SOP vs generic automorphisms

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist.

Example: let  $T = \text{DLO}$  and let  $(M, \sigma)$  be ec. Then  $\exists x = \sigma(x) \in [a, b] \Leftrightarrow \text{orb}(a) \leq b$ .

Proof: if  $a \leq x = \sigma(x) \leq b$  then  $\sigma^k(a) \leq \sigma^k(x) = x \leq b$ . Conversely, let  $A$  be intersection of all  $(-\infty, c) \supseteq \text{orb}(a)$ . Clearly,  $\sigma(A) = A$  (setwise).

## SOP vs generic automorphisms

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist.

Example: let  $T = \text{DLO}$  and let  $(M, \sigma)$  be ec. Then  $\exists x = \sigma(x) \in [a, b] \Leftrightarrow \text{orb}(a) \leq b$ .

Proof: if  $a \leq x = \sigma(x) \leq b$  then  $\sigma^k(a) \leq \sigma^k(x) = x \leq b$ . Conversely, let  $A$  be intersection of all  $(-\infty, c) \supseteq \text{orb}(a)$ . Clearly,  $\sigma(A) = A$  (setwise). If  $A$  has a maximum, or  $M \setminus A$  has a minimum, this must be a fixed point (in  $[a, b]$ ).

## SOP vs generic automorphisms

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist.

Example: let  $T = \text{DLO}$  and let  $(M, \sigma)$  be ec. Then  $\exists x = \sigma(x) \in [a, b] \Leftrightarrow \text{orb}(a) \leq b$ .

Proof: if  $a \leq x = \sigma(x) \leq b$  then  $\sigma^k(a) \leq \sigma^k(x) = x \leq b$ . Conversely, let  $A$  be intersection of all  $(-\infty, c) \supseteq \text{orb}(a)$ . Clearly,  $\sigma(A) = A$  (setwise). If  $A$  has a maximum, or  $M \setminus A$  has a minimum, this must be a fixed point (in  $[a, b]$ ).

Otherwise, enlarge  $M$  by adding a fixed point right after  $A$ , violating ec'ness.

## SOP vs generic automorphisms

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist.

Example: let  $T = \text{DLO}$  and let  $(M, \sigma)$  be ec. Then  $\exists x = \sigma(x) \in [a, b] \Leftrightarrow \text{orb}(a) \leq b$ .

Proof: if  $a \leq x = \sigma(x) \leq b$  then  $\sigma^k(a) \leq \sigma^k(x) = x \leq b$ . Conversely, let  $A$  be intersection of all  $(-\infty, c) \supseteq \text{orb}(a)$ . Clearly,  $\sigma(A) = A$  (setwise). If  $A$  has a maximum, or  $M \setminus A$  has a minimum, this must be a fixed point (in  $[a, b]$ ).

Otherwise, enlarge  $M$  by adding a fixed point right after  $A$ , violating ec'ness.

Therefore DLOA does not exist: in an  $\omega$ -saturated  $M$ , on one hand

$$M \models \forall y \left[ \left( \exists z \left( (a < z < y) \wedge (\sigma(z) = z) \right) \right) \leftrightarrow \bigwedge_{n \in \mathbb{Z}} \sigma^n(a) < y \right]$$

## SOP vs generic automorphisms

### Theorem (Kikyo–Shelah)

If  $T$  has SOP, then  $TA$  does not exist.

Example: let  $T = \text{DLO}$  and let  $(M, \sigma)$  be ec. Then  $\exists x = \sigma(x) \in [a, b] \Leftrightarrow \text{orb}(a) \leq b$ .

Proof: if  $a \leq x = \sigma(x) \leq b$  then  $\sigma^k(a) \leq \sigma^k(x) = x \leq b$ . Conversely, let  $A$  be intersection of all  $(-\infty, c) \supseteq \text{orb}(a)$ . Clearly,  $\sigma(A) = A$  (setwise). If  $A$  has a maximum, or  $M \setminus A$  has a minimum, this must be a fixed point (in  $[a, b]$ ).

Otherwise, enlarge  $M$  by adding a fixed point right after  $A$ , violating ec'ness. Therefore DLOA does not exist: in an  $\omega$ -saturated  $M$ , on one hand

$$M \models \forall y \left[ \left( \exists z ((a < z < y) \wedge (\sigma(z) = z)) \right) \leftrightarrow \bigwedge_{n \in \mathbb{Z}} \sigma^n(a) < y \right]$$

but if  $\sigma(a) \neq a$ , by cptns+saturation there is  $b \in M$  satisfying RHS but not LHS.

## Constraining automorphisms

By Kikyo–Shelah, to study “generic” automorphisms of ordered structures, we need to change something.

## Constraining automorphisms

By Kikyo–Shelah, to study “generic” automorphisms of ordered structures, we need to change something. One approach: impose restrictions on the automorphism.

### Theorem (Pal)

Let  $L = L_{\text{oag}} \cup \{\sigma\}$ , and let MODAG be the theory of difference oags together with, for every  $L \in \mathbb{Z}[\sigma]$ , the axiom

$$(\forall x > 0 L(x) > 0) \vee (\forall x > 0 L(x) = 0) \vee (\forall x > 0 L(x) < 0)$$

Then MODAG has a model companion, which eliminates quantifiers.



## Constraining automorphisms

By Kikyo–Shelah, to study “generic” automorphisms of ordered structures, we need to change something. One approach: impose restrictions on the automorphism.

### Theorem (Pal)

Let  $L = L_{\text{oag}} \cup \{\sigma\}$ , and let MODAG be the theory of difference oags together with, for every  $L \in \mathbb{Z}[\sigma]$ , the axiom

$$(\forall x > 0 L(x) > 0) \vee (\forall x > 0 L(x) = 0) \vee (\forall x > 0 L(x) < 0)$$

Then MODAG has a model companion, which eliminates quantifiers.

Note that, in particular, in a model of MODAG, either  $\sigma$  is the identity or it has no fixed points (look at  $L(x) := \sigma(x) - x$ ).

## Constraining automorphisms

By Kikyo–Shelah, to study “generic” automorphisms of ordered structures, we need to change something. One approach: impose restrictions on the automorphism.

### Theorem (Pal)

Let  $L = L_{\text{oag}} \cup \{\sigma\}$ , and let **MODAG** be the theory of difference oags together with, for every  $L \in \mathbb{Z}[\sigma]$ , the axiom

$$(\forall x > 0 L(x) > 0) \vee (\forall x > 0 L(x) = 0) \vee (\forall x > 0 L(x) < 0)$$

Then **MODAG** has a model companion, which eliminates quantifiers.

Note that, in particular, in a model of **MODAG**, either  $\sigma$  is the identity or it has no fixed points (look at  $L(x) := \sigma(x) - x$ ).

This approach has been useful in the context of valued difference fields (e.g. isometric, contractive); see Azgin–van den Dries, Chernikov–Hils, Scanlon,...

## Type spaces in positive logic

What are types (over  $\emptyset$ ) in this context? There are different approaches.

## Type spaces in positive logic

What are types (over  $\emptyset$ ) in this context? There are different approaches.

1. Consider types of elements of arbitrary models. Same as: *prime* consistent sets of positive formulas: if  $p(x) \vdash \varphi(x) \vee \psi(x)$ , then  $p(x) \vdash \varphi(x)$  or  $p(x) \vdash \psi(x)$ .

## Type spaces in positive logic

What are types (over  $\emptyset$ ) in this context? There are different approaches.

1. Consider types of elements of arbitrary models. Same as: *prime* consistent sets of positive formulas: if  $p(x) \vdash \varphi(x) \vee \psi(x)$ , then  $p(x) \vdash \varphi(x)$  or  $p(x) \vdash \psi(x)$ .
2. Consider only types of elements of pec models. Same as: *maximal*.

## Type spaces in positive logic

What are types (over  $\emptyset$ ) in this context? There are different approaches.

1. Consider types of elements of arbitrary models. Same as: *prime* consistent sets of positive formulas: if  $p(x) \vdash \varphi(x) \vee \psi(x)$ , then  $p(x) \vdash \varphi(x)$  or  $p(x) \vdash \psi(x)$ .
2. Consider only types of elements of pec models. Same as: *maximal*.

Note: definable sets only form distributive lattices (not always Boolean algebras).

## Type spaces in positive logic

What are types (over  $\emptyset$ ) in this context? There are different approaches.

1. Consider types of elements of arbitrary models. Same as: *prime* consistent sets of positive formulas: if  $p(x) \vdash \varphi(x) \vee \psi(x)$ , then  $p(x) \vdash \varphi(x)$  or  $p(x) \vdash \psi(x)$ .
2. Consider only types of elements of pec models. Same as: *maximal*.

Note: definable sets only form distributive lattices (not always Boolean algebras).

Boolean algebras : Stone spaces = Distributive lattices : Spectral spaces

## Type spaces in positive logic

What are types (over  $\emptyset$ ) in this context? There are different approaches.

1. Consider types of elements of arbitrary models. Same as: *prime* consistent sets of positive formulas: if  $p(x) \vdash \varphi(x) \vee \psi(x)$ , then  $p(x) \vdash \varphi(x)$  or  $p(x) \vdash \psi(x)$ .
2. Consider only types of elements of pec models. Same as: *maximal*.

Note: definable sets only form distributive lattices (not always Boolean algebras).

Boolean algebras : Stone spaces = Distributive lattices : Spectral spaces

Concretely:

- 1a. For prime types, take as basic open sets  $[\varphi(x)]$ , with  $\varphi(x)$  positive.



## Type spaces in positive logic

What are types (over  $\emptyset$ ) in this context? There are different approaches.

1. Consider types of elements of arbitrary models. Same as: *prime* consistent sets of positive formulas: if  $p(x) \vdash \varphi(x) \vee \psi(x)$ , then  $p(x) \vdash \varphi(x)$  or  $p(x) \vdash \psi(x)$ .
2. Consider only types of elements of pec models. Same as: *maximal*.

Note: definable sets only form distributive lattices (not always Boolean algebras).

Boolean algebras : Stone spaces = Distributive lattices : Spectral spaces

Concretely:

- 1a. For prime types, take as basic open sets  $[\varphi(x)]$ , with  $\varphi(x)$  positive.
- 1b. Or, take as basic closed sets  $[\varphi(x)]$ , with  $\varphi(x)$  positive.

## Type spaces in positive logic

What are types (over  $\emptyset$ ) in this context? There are different approaches.

1. Consider types of elements of arbitrary models. Same as: *prime* consistent sets of positive formulas: if  $p(x) \vdash \varphi(x) \vee \psi(x)$ , then  $p(x) \vdash \varphi(x)$  or  $p(x) \vdash \psi(x)$ .
2. Consider only types of elements of pec models. Same as: *maximal*.

Note: definable sets only form distributive lattices (not always Boolean algebras).

Boolean algebras : Stone spaces = Distributive lattices : Spectral spaces

Concretely:

- 1a. For prime types, take as basic open sets  $[\varphi(x)]$ , with  $\varphi(x)$  positive.
- 1b. Or, take as basic closed sets  $[\varphi(x)]$ , with  $\varphi(x)$  positive. This yields the *Hochster dual* of the space above. Both are spectral (in particular, compact and T0).

## Type spaces in positive logic

What are types (over  $\emptyset$ ) in this context? There are different approaches.

1. Consider types of elements of arbitrary models. Same as: *prime* consistent sets of positive formulas: if  $p(x) \vdash \varphi(x) \vee \psi(x)$ , then  $p(x) \vdash \varphi(x)$  or  $p(x) \vdash \psi(x)$ .
2. Consider only types of elements of pec models. Same as: *maximal*.

Note: definable sets only form distributive lattices (not always Boolean algebras).

Boolean algebras : Stone spaces = Distributive lattices : Spectral spaces

Concretely:

- 1a. For prime types, take as basic open sets  $[\varphi(x)]$ , with  $\varphi(x)$  positive.
- 1b. Or, take as basic closed sets  $[\varphi(x)]$ , with  $\varphi(x)$  positive. This yields the *Hochster dual* of the space above. Both are spectral (in particular, compact and T0).
- 2b. For maximal types, take the closed points of the last space with the induced topology. This is compact and T1 (not necessarily spectral).

## Type spaces in positive logic

What are types (over  $\emptyset$ ) in this context? There are different approaches.

1. Consider types of elements of arbitrary models. Same as: *prime* consistent sets of positive formulas: if  $p(x) \vdash \varphi(x) \vee \psi(x)$ , then  $p(x) \vdash \varphi(x)$  or  $p(x) \vdash \psi(x)$ .
2. Consider only types of elements of pec models. Same as: *maximal*.

Note: definable sets only form distributive lattices (not always Boolean algebras).

Boolean algebras : Stone spaces = Distributive lattices : Spectral spaces

Concretely:

- 1a. For prime types, take as basic open sets  $[\varphi(x)]$ , with  $\varphi(x)$  positive.
- 1b. Or, take as basic closed sets  $[\varphi(x)]$ , with  $\varphi(x)$  positive. This yields the *Hochster dual* of the space above. Both are spectral (in particular, compact and T0).
- 2b. For maximal types, take the closed points of the last space with the induced topology. This is compact and T1 (not necessarily spectral).
- 2a. The first topology restricted to maximal types is not always compact. In that topology, they are generic points of irreducible components.

## Positive neostability

Why bother doing all this?

## Positive neostability

Why bother doing all this?

One reason: can develop stability and generalisations on pec structures.

Also: can add hyperimaginaries, need to consider only positive  $\varphi$ , no need to care about models of  $\text{Th}(K^{ec})$  not in  $K^{ec}$ , ...

## Positive neostability

Why bother doing all this?

One reason: can develop stability and generalisations on pec structures.

Also: can add hyperimaginaries, need to consider only positive  $\varphi$ , no need to care about models of  $\text{Th}(K^{\text{ec}})$  not in  $K^{\text{ec}}$ , ...

Some instances (with no claim of exhaustivity):

- Shelah: stability.
- Pillay: simplicity (in “Robinson” setting). Generic automorphisms of stable structures (they are simple).

## Positive neostability

Why bother doing all this?

One reason: can develop stability and generalisations on pec structures.

Also: can add hyperimaginaries, need to consider only positive  $\varphi$ , no need to care about models of  $\text{Th}(K^{\text{ec}})$  not in  $K^{\text{ec}}$ , ...

Some instances (with no claim of exhaustivity):

- Shelah: stability.
- Pillay: simplicity (in “Robinson” setting). Generic automorphisms of stable structures (they are simple).
- Ben Yaacov: simplicity in general setting (and more).
- Haykazyan–Kirby: ec exponential fields are  $\text{TP}_2$  and  $\text{NSOP}_1$ .
- d’Elbée–Kaplan–Neuhauser: ec fields with an  $R$ -submodule are  $\text{TP}_2$  and  $\text{NSOP}_1$ .
- Dobrowolski–Kamsma: development of  $\text{NSOP}_1$ .



## Positive counterparts

- These go through for NIP: phrasing in terms of alternation on an indiscernible sequence, being NIP is preserved by  $\vee, \wedge, {}^{\text{op}}$ , can be checked in one variable, some version of Borel definability...

## Positive counterparts

- These go through for NIP: phrasing in terms of alternation on an indiscernible sequence, being NIP is preserved by  $\vee, \wedge, {}^{\text{op}}$ , can be checked in one variable, some version of Borel definability...
- Things like invariant types, coheirs,... require care.

## Positive counterparts

- These go through for NIP: phrasing in terms of alternation on an indiscernible sequence, being NIP is preserved by  $\vee, \wedge, {}^{\text{op}}$ , can be checked in one variable, some version of Borel definability...
- Things like invariant types, coheirs,... require care.
- For example, what is a coheir? We should look at closure in which space?

## Positive counterparts

- These go through for NIP: phrasing in terms of alternation on an indiscernible sequence, being NIP is preserved by  $\vee, \wedge, \text{op}$ , can be checked in one variable, some version of Borel definability...
- Things like invariant types, coheirs,... require care.
- For example, what is a coheir? We should look at closure in which space?
- If we work naively, coheirs of maximal types need not be maximal (look at the type at  $+\infty$  in  $(\omega, \leq, 0, 1, 2, \dots)$ ).

## Positive counterparts

- These go through for NIP: phrasing in terms of alternation on an indiscernible sequence, being NIP is preserved by  $\vee, \wedge, \text{op}$ , can be checked in one variable, some version of Borel definability...
- Things like invariant types, coheirs,... require care.
- For example, what is a coheir? We should look at closure in which space?
- If we work naively, coheirs of maximal types need not be maximal (look at the type at  $+\infty$  in  $(\omega, \leq, 0, 1, 2, \dots)$ ).
- One can even build a type over a pec  $M$  with no global  $M$ -invariant extensions!

## Positive counterparts

- These go through for NIP: phrasing in terms of alternation on an indiscernible sequence, being NIP is preserved by  $\vee, \wedge, \text{op}$ , can be checked in one variable, some version of Borel definability...
- Things like invariant types, coheirs,... require care.
- For example, what is a coheir? We should look at closure in which space?
- If we work naively, coheirs of maximal types need not be maximal (look at the type at  $+\infty$  in  $(\omega, \leq, 0, 1, 2, \dots)$ ).
- One can even build a type over a pec  $M$  with no global  $M$ -invariant extensions!
- In general, some technology is delicate: e.g. there are theories where having the same type over a pec model does not imply having the same Lascar strong type (defined with indiscernible sequences).

## Positive counterparts

- These go through for NIP: phrasing in terms of alternation on an indiscernible sequence, being NIP is preserved by  $\vee, \wedge, \text{op}$ , can be checked in one variable, some version of Borel definability...
- Things like invariant types, coheirs,... require care.
- For example, what is a coheir? We should look at closure in which space?
- If we work naively, coheirs of maximal types need not be maximal (look at the type at  $+\infty$  in  $(\omega, \leq, 0, 1, 2, \dots)$ ).
- One can even build a type over a pec  $M$  with no global  $M$ -invariant extensions!
- In general, some technology is delicate: e.g. there are theories where having the same type over a pec model does not imply having the same Lascar strong type (defined with indiscernible sequences).
- Assumptions that are sometimes required/useful: being *semi-Hausdorff* (equality of types is type-definable), being *thick* (indiscernibility is type-definable).

## Generic automorphisms of dense linear orders

- Let  $L = \{<, \sigma, \sigma^{-1}\}$ , and let  $T$  be the  $L$ -theory of a DLO with an automorphism.



## Generic automorphisms of dense linear orders

- Let  $L = \{<, \sigma, \sigma^{-1}\}$ , and let  $T$  be the  $L$ -theory of a DLO with an automorphism.
- (why not just a linear order? because a pec  $M$  is going to be a DLO anyway)

## Generic automorphisms of dense linear orders

- Let  $L = \{<, \sigma, \sigma^{-1}\}$ , and let  $T$  be the  $L$ -theory of a DLO with an automorphism.
- (why not just a linear order? because a pec  $M$  is going to be a DLO anyway)
- As we saw before, the class  $\text{Mod}(T)^{\text{ec}}$  is not elementary.

## Generic automorphisms of dense linear orders

- Let  $L = \{<, \sigma, \sigma^{-1}\}$ , and let  $T$  be the  $L$ -theory of a DLO with an automorphism.
- (why not just a linear order? because a pec  $M$  is going to be a DLO anyway)
- As we saw before, the class  $\text{Mod}(T)^{\text{ec}}$  is not elementary.

### Theorem

Let  $G$  be a group. The positive theory of dense linear orders with a  $G$ -action by automorphisms is NIP.

## Generic automorphisms of dense linear orders

- Let  $L = \{<, \sigma, \sigma^{-1}\}$ , and let  $T$  be the  $L$ -theory of a DLO with an automorphism.
- (why not just a linear order? because a pec  $M$  is going to be a DLO anyway)
- As we saw before, the class  $\text{Mod}(T)^{\text{ec}}$  is not elementary.

### Theorem

Let  $G$  be a group. The positive theory of dense linear orders with a  $G$ -action by automorphisms is NIP.

Proof idea: use that NIP is equivalent to finite alternation number.

Let  $(a_i)_{i < \omega}$  be indiscernible increasing and  $i, j \geq 2$ .

## Generic automorphisms of dense linear orders

- Let  $L = \{<, \sigma, \sigma^{-1}\}$ , and let  $T$  be the  $L$ -theory of a DLO with an automorphism.
- (why not just a linear order? because a pec  $M$  is going to be a DLO anyway)
- As we saw before, the class  $\text{Mod}(T)^{\text{ec}}$  is not elementary.

### Theorem

Let  $G$  be a group. The positive theory of dense linear orders with a  $G$ -action by automorphisms is NIP.

Proof idea: use that NIP is equivalent to finite alternation number.

Let  $(a_i)_{i < \omega}$  be indiscernible increasing and  $i, j \geq 2$ . We cannot have  $g \cdot a_i < a_0 < a_1 < g \cdot a_j$ .

## Generic automorphisms of dense linear orders

- Let  $L = \{<, \sigma, \sigma^{-1}\}$ , and let  $T$  be the  $L$ -theory of a DLO with an automorphism.
- (why not just a linear order? because a pec  $M$  is going to be a DLO anyway)
- As we saw before, the class  $\text{Mod}(T)^{\text{ec}}$  is not elementary.

### Theorem

Let  $G$  be a group. The positive theory of dense linear orders with a  $G$ -action by automorphisms is NIP.

Proof idea: use that NIP is equivalent to finite alternation number.

Let  $(a_i)_{i < \omega}$  be indiscernible increasing and  $i, j \geq 2$ . We cannot have  $g \cdot a_i < a_0 < a_1 < g \cdot a_j$ . Also, we cannot have  $a_0 < g \cdot a_i < a_1$ :

## Generic automorphisms of dense linear orders

- Let  $L = \{<, \sigma, \sigma^{-1}\}$ , and let  $T$  be the  $L$ -theory of a DLO with an automorphism.
- (why not just a linear order? because a pec  $M$  is going to be a DLO anyway)
- As we saw before, the class  $\text{Mod}(T)^{\text{ec}}$  is not elementary.

### Theorem

Let  $G$  be a group. The positive theory of dense linear orders with a  $G$ -action by automorphisms is NIP.

Proof idea: use that NIP is equivalent to finite alternation number.

Let  $(a_i)_{i < \omega}$  be indiscernible increasing and  $i, j \geq 2$ . We cannot have  $g \cdot a_i < a_0 < a_1 < g \cdot a_j$ . Also, we cannot have  $a_0 < g \cdot a_i < a_1$ : otherwise  $g \cdot a_3 \in (a_0, a_1) \cap (a_1, a_2) = \emptyset$ .

## Generic automorphisms of dense linear orders

- Let  $L = \{<, \sigma, \sigma^{-1}\}$ , and let  $T$  be the  $L$ -theory of a DLO with an automorphism.
- (why not just a linear order? because a pec  $M$  is going to be a DLO anyway)
- As we saw before, the class  $\text{Mod}(T)^{\text{ec}}$  is not elementary.

### Theorem

Let  $G$  be a group. The positive theory of dense linear orders with a  $G$ -action by automorphisms is NIP.

Proof idea: use that NIP is equivalent to finite alternation number.

Let  $(a_i)_{i < \omega}$  be indiscernible increasing and  $i, j \geq 2$ . We cannot have  $g \cdot a_i < a_0 < a_1 < g \cdot a_j$ . Also, we cannot have  $a_0 < g \cdot a_i < a_1$ : otherwise  $g \cdot a_3 \in (a_0, a_1) \cap (a_1, a_2) = \emptyset$ . It follows that for every finite  $b$  there is  $i_0$  such that, for every  $g \in G$ ,

$$b \cap g \cdot \text{Conv}((a_i)_{i_0 < i < \omega}) = \emptyset$$



## Generic automorphisms of dense linear orders

- Let  $L = \{<, \sigma, \sigma^{-1}\}$ , and let  $T$  be the  $L$ -theory of a DLO with an automorphism.
- (why not just a linear order? because a pec  $M$  is going to be a DLO anyway)
- As we saw before, the class  $\text{Mod}(T)^{\text{ec}}$  is not elementary.

### Theorem

Let  $G$  be a group. The positive theory of dense linear orders with a  $G$ -action by automorphisms is NIP.

Proof idea: use that NIP is equivalent to finite alternation number.

Let  $(a_i)_{i < \omega}$  be indiscernible increasing and  $i, j \geq 2$ . We cannot have  $g \cdot a_i < a_0 < a_1 < g \cdot a_j$ . Also, we cannot have  $a_0 < g \cdot a_i < a_1$ : otherwise  $g \cdot a_3 \in (a_0, a_1) \cap (a_1, a_2) = \emptyset$ . It follows that for every finite  $b$  there is  $i_0$  such that, for every  $g \in G$ ,

$$b \cap g \cdot \text{Conv}((a_i)_{i_0 < i < \omega}) = \emptyset$$

From this, one deduces that the trimmed sequence is  $b$ -indiscernible.

## Counterexamples

Why is extending  $\sigma$  to an ordered  $\mathbb{R}$ -vector space automorphism not obvious from Hahn's Embedding Theorem?

- Consider  $A := ((\mathbb{Q} + \sqrt{2}\mathbb{Q}) \times_{\text{lex}} \mathbb{Q})$ . Define  $\sigma_A((a + \sqrt{2}b, c)) = (a + \sqrt{2}b, c + b)$ .

## Counterexamples

Why is extending  $\sigma$  to an ordered  $\mathbb{R}$ -vector space automorphism not obvious from Hahn's Embedding Theorem?

- Consider  $A := ((\mathbb{Q} + \sqrt{2}\mathbb{Q}) \times_{\text{lex}} \mathbb{Q})$ . Define  $\sigma_A((a + \sqrt{2}b, c)) = (a + \sqrt{2}b, c + b)$ .
- Hahn gives  $A \hookrightarrow B := \mathbb{R} \times_{\text{lex}} \mathbb{R}$ . No extension of  $\sigma_A$  to  $B$  preserves  $\sqrt{2} \cdot -$  !

## Counterexamples

Why is extending  $\sigma$  to an ordered  $\mathbb{R}$ -vector space automorphism not obvious from Hahn's Embedding Theorem?

- Consider  $A := ((\mathbb{Q} + \sqrt{2}\mathbb{Q}) \times_{\text{lex}} \mathbb{Q})$ . Define  $\sigma_A((a + \sqrt{2}b, c)) = (a + \sqrt{2}b, c + b)$ .
- Hahn gives  $A \hookrightarrow B := \mathbb{R} \times_{\text{lex}} \mathbb{R}$ . No extension of  $\sigma_A$  to  $B$  preserves  $\sqrt{2} \cdot -$  !
- Solution: embed in  $\mathbb{R} \times_{\text{lex}} \mathbb{R} \times_{\text{lex}} \mathbb{R}$  instead, map  $(\sqrt{2}, 0) \mapsto (\sqrt{2}, 1, 0)$ .

## Counterexamples

Why is extending  $\sigma$  to an ordered  $\mathbb{R}$ -vector space automorphism not obvious from Hahn's Embedding Theorem?

- Consider  $A := ((\mathbb{Q} + \sqrt{2}\mathbb{Q}) \times_{\text{lex}} \mathbb{Q})$ . Define  $\sigma_A((a + \sqrt{2}b, c)) = (a + \sqrt{2}b, c + b)$ .
- Hahn gives  $A \hookrightarrow B := \mathbb{R} \times_{\text{lex}} \mathbb{R}$ . No extension of  $\sigma_A$  to  $B$  preserves  $\sqrt{2} \cdot -$  !
- Solution: embed in  $\mathbb{R} \times_{\text{lex}} \mathbb{R} \times_{\text{lex}} \mathbb{R}$  instead, map  $(\sqrt{2}, 0) \mapsto (\sqrt{2}, 1, 0)$ .
- In general, assume  $\dim_{\mathbb{Q}} A \leq \aleph_0$  by cptns, so  $A \cong \bigoplus_{i < \omega} a_i \mathbb{Q}$  (Hahn sum).

## Counterexamples

Why is extending  $\sigma$  to an ordered  $\mathbb{R}$ -vector space automorphism not obvious from Hahn's Embedding Theorem?

- Consider  $A := ((\mathbb{Q} + \sqrt{2}\mathbb{Q}) \times_{\text{lex}} \mathbb{Q})$ . Define  $\sigma_A((a + \sqrt{2}b, c)) = (a + \sqrt{2}b, c + b)$ .
- Hahn gives  $A \hookrightarrow B := \mathbb{R} \times_{\text{lex}} \mathbb{R}$ . No extension of  $\sigma_A$  to  $B$  preserves  $\sqrt{2} \cdot -$  !
- Solution: embed in  $\mathbb{R} \times_{\text{lex}} \mathbb{R} \times_{\text{lex}} \mathbb{R}$  instead, map  $(\sqrt{2}, 0) \mapsto (\sqrt{2}, 1, 0)$ .
- In general, assume  $\dim_{\mathbb{Q}} A \leq \aleph_0$  by cptns, so  $A \cong \bigoplus_{i < \omega} a_i \mathbb{Q}$  (Hahn sum).
- Idea to order  $A \otimes_{\mathbb{Q}} \mathbb{R}$ : if  $a_i \sim r \cdot a_j$ , add a new archimedean class for  $a_i - r \cdot a_j$ .

## Counterexamples

Why is extending  $\sigma$  to an ordered  $\mathbb{R}$ -vector space automorphism not obvious from Hahn's Embedding Theorem?

- Consider  $A := ((\mathbb{Q} + \sqrt{2}\mathbb{Q}) \times_{\text{lex}} \mathbb{Q})$ . Define  $\sigma_A((a + \sqrt{2}b, c)) = (a + \sqrt{2}b, c + b)$ .
- Hahn gives  $A \hookrightarrow B := \mathbb{R} \times_{\text{lex}} \mathbb{R}$ . No extension of  $\sigma_A$  to  $B$  preserves  $\sqrt{2} \cdot -$  !
- Solution: embed in  $\mathbb{R} \times_{\text{lex}} \mathbb{R} \times_{\text{lex}} \mathbb{R}$  instead, map  $(\sqrt{2}, 0) \mapsto (\sqrt{2}, 1, 0)$ .
- In general, assume  $\dim_{\mathbb{Q}} A \leq \aleph_0$  by cptns, so  $A \cong \bigoplus_{i < \omega} a_i \mathbb{Q}$  (Hahn sum).
- Idea to order  $A \otimes_{\mathbb{Q}} \mathbb{R}$ : if  $a_i \sim r \cdot a_j$ , add a new archimedean class for  $a_i - r \cdot a_j$ .

Why is pec necessary for IVP?

## Counterexamples

Why is extending  $\sigma$  to an ordered  $\mathbb{R}$ -vector space automorphism not obvious from Hahn's Embedding Theorem?

- Consider  $A := ((\mathbb{Q} + \sqrt{2}\mathbb{Q}) \times_{\text{lex}} \mathbb{Q})$ . Define  $\sigma_A((a + \sqrt{2}b, c)) = (a + \sqrt{2}b, c + b)$ .
- Hahn gives  $A \hookrightarrow B := \mathbb{R} \times_{\text{lex}} \mathbb{R}$ . No extension of  $\sigma_A$  to  $B$  preserves  $\sqrt{2} \cdot -$  !
- Solution: embed in  $\mathbb{R} \times_{\text{lex}} \mathbb{R} \times_{\text{lex}} \mathbb{R}$  instead, map  $(\sqrt{2}, 0) \mapsto (\sqrt{2}, 1, 0)$ .
- In general, assume  $\dim_{\mathbb{Q}} A \leq \aleph_0$  by cptns, so  $A \cong \bigoplus_{i < \omega} a_i \mathbb{Q}$  (Hahn sum).
- Idea to order  $A \otimes_{\mathbb{Q}} \mathbb{R}$ : if  $a_i \sim r \cdot a_j$ , add a new archimedean class for  $a_i - r \cdot a_j$ .

Why is pec necessary for IVP?

- Consider  $\mathbb{R}((\mathbb{Z} + \mathbb{Z}))$ . Let  $\sigma$  act by shifting the first copy of  $\mathbb{Z}$  forwards, and the second copy of  $\mathbb{Z}$  backwards, then look at  $\sigma(x) - x$ .



## Counterexamples

Why is extending  $\sigma$  to an ordered  $\mathbb{R}$ -vector space automorphism not obvious from Hahn's Embedding Theorem?

- Consider  $A := ((\mathbb{Q} + \sqrt{2}\mathbb{Q}) \times_{\text{lex}} \mathbb{Q})$ . Define  $\sigma_A((a + \sqrt{2}b, c)) = (a + \sqrt{2}b, c + b)$ .
- Hahn gives  $A \hookrightarrow B := \mathbb{R} \times_{\text{lex}} \mathbb{R}$ . No extension of  $\sigma_A$  to  $B$  preserves  $\sqrt{2} \cdot -$  !
- Solution: embed in  $\mathbb{R} \times_{\text{lex}} \mathbb{R} \times_{\text{lex}} \mathbb{R}$  instead, map  $(\sqrt{2}, 0) \mapsto (\sqrt{2}, 1, 0)$ .
- In general, assume  $\dim_{\mathbb{Q}} A \leq \aleph_0$  by cptns, so  $A \cong \bigoplus_{i < \omega} a_i \mathbb{Q}$  (Hahn sum).
- Idea to order  $A \otimes_{\mathbb{Q}} \mathbb{R}$ : if  $a_i \sim r \cdot a_j$ , add a new archimedean class for  $a_i - r \cdot a_j$ .

Why is pec necessary for IVP?

- Consider  $\mathbb{R}((\mathbb{Z} + \mathbb{Z}))$ . Let  $\sigma$  act by shifting the first copy of  $\mathbb{Z}$  forwards, and the second copy of  $\mathbb{Z}$  backwards, then look at  $\sigma(x) - x$ .
- $\min(f, g) = (f + g - |f - g|)/2$ . So why is IVP for minima not obvious from IVP?

## Counterexamples

Why is extending  $\sigma$  to an ordered  $\mathbb{R}$ -vector space automorphism not obvious from Hahn's Embedding Theorem?

- Consider  $A := ((\mathbb{Q} + \sqrt{2}\mathbb{Q}) \times_{\text{lex}} \mathbb{Q})$ . Define  $\sigma_A((a + \sqrt{2}b, c)) = (a + \sqrt{2}b, c + b)$ .
- Hahn gives  $A \hookrightarrow B := \mathbb{R} \times_{\text{lex}} \mathbb{R}$ . No extension of  $\sigma_A$  to  $B$  preserves  $\sqrt{2} \cdot -$  !
- Solution: embed in  $\mathbb{R} \times_{\text{lex}} \mathbb{R} \times_{\text{lex}} \mathbb{R}$  instead, map  $(\sqrt{2}, 0) \mapsto (\sqrt{2}, 1, 0)$ .
- In general, assume  $\dim_{\mathbb{Q}} A \leq \aleph_0$  by cptns, so  $A \cong \bigoplus_{i < \omega} a_i \mathbb{Q}$  (Hahn sum).
- Idea to order  $A \otimes_{\mathbb{Q}} \mathbb{R}$ : if  $a_i \sim r \cdot a_j$ , add a new archimedean class for  $a_i - r \cdot a_j$ .

Why is pec necessary for IVP?

- Consider  $\mathbb{R}((\mathbb{Z} + \mathbb{Z}))$ . Let  $\sigma$  act by shifting the first copy of  $\mathbb{Z}$  forwards, and the second copy of  $\mathbb{Z}$  backwards, then look at  $\sigma(x) - x$ .
- $\min(f, g) = (f + g - |f - g|)/2$ . So why is IVP for minima not obvious from IVP?
- In general, IVP functions are not closed under sum (example just above!).